



H.M. Gladney
HMG Consulting LLC

8 July 2002

U.S. Department of Commerce
Patent and Trademark Office
Asst. Secy. And Commissioner of Patents and Trademarks
Washington, D.C. 20231
Attn: Mary Adel

Dear Ms. Adel:

This is a repeated request for refund of \$586.00 which was a duplicate collection of filing fees caused as a consequence of a problem with U.S. Post Office delivery problem, possibly a side effect of the December 2001 anthrax scare. The original request, sent in February 2002, was responded to on 22nd March with an indication that it had been forwarded to you.

The facts, evidenced by multiple attachments, are that on 11th December 2001 I submitted an application with my cheque #136. That disappeared in the mails; 3 weeks later the U.S. Post Office trace of the certified letter could not find it; so I assumed it permanently lost and resubmitted, this time with my cheque #139. Each cheque was for \$586. (Images of both superposed on images of your fee transmittal forms are attached).

The duplicate submission was acknowledged on 4th Jan 2002 with your number **JC826 U.S. PTO 10/039143** and my bank paid cheque #139 a couple of weeks later. Then the first submission must have arrived (seven weeks after it was mailed) and was acknowledged on 15th Jan 2002 with your number **J1002 U.S. PTO 10/047392**. (Attached is a single photocopy that shows both the 4th Jan and the 15th Jan actions of your office. My bank paid cheque #136 at the beginning of February, as I noticed just a few days ago.

Please combine your office actions for these two applications, as they are identical. And **please refund one fee of \$586. at your earliest convenience.**

Yours truly,


H.M. Gladney

Attachment: 5 photocopy sheets alluded to above.



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

March 22, 2002

HMG CONSULTING LLC
H.M. Gladney
20044 Glen Bae Dr.
Saratoga, CA. 95070

Dear Sir/Madam,

This is to acknowledge receipt of your refund request in the amount of \$586.00
for patent/serial number 10047392.

Your request has been forwarded to MARY ADEL for review and processing.

To inquire about the status of your refund request, please call 703-306-3338.

Thank you,

Refund Section, Office of Finance

HMG

H.M. Gladney
HMG Consulting LLC

13 February 2002

U.S. Postal Service
CERTIFIED MAIL RECEIPT
(Domestic Mail Only; No Insurance Coverage Provided)

Office of the Asst. Commissioner for Patents
USPTO
Washington, D.C.

Dear Sir or Madam:

This is a request for refund of \$586.00 which filing fees caused as a consequence of a problem delivery problem, possibly a side effect of the scare.

The facts, evidenced by attachments, are that on 1/3/02 I submitted an application with my cheque #136. 3 weeks later the U.S. Post Office trace of the certified letter could not find it; so I assumed it permanently lost and resubmitted, this time with my cheque #139. Each cheque was for \$586. (Images of both superposed on images of your fee transmittal forms are attached).

The duplicate submission was acknowledged on 4th Jan 2002 with your number **JC826 U.S. PTO 10/039143** and my bank paid cheque #139 a couple of weeks later. Then the first submission must have arrived (seven weeks after it was mailed) and was acknowledged on 15th Jan 2002 with your number **J1002 U.S. PTO 10/047392**. (Attached is a single photocopy that shows both the 4th Jan and the 15th Jan actions of your office. My bank paid cheque #136 at the beginning of February, as I noticed just a few days ago.

Please combine your office actions for these two applications, as they are identical. And please refund one fee of \$586. at your earliest convenience.

Yours truly,

H.M. Gladney
H.M. Gladney

Attachment: 3 photocopy sheets as described above.

WASHINGTON DC 20231

Postage	\$3.50	
Postage Insurance	\$2.10	0240
Registered Mail Fee	\$1.50	10
Registered Mail Insurance	\$0.00	
Total Postage & Fees	\$7.10	02/13/2002

Sent To
Asst. Commissioner for Patents
Street, Apt. No.
City, State, ZIP+4
WASHINGTON DC 20231

PS Form 3800, January 2001 See Reverse for Instructions

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 586.00

Complete if Known

Application Number

Filing Date

11th DEC 2001

First Named Inventor

HENRY M. GLADNEY

Examiner Name

Group Art Unit

Attorney Docket No.

N/A

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit
Account
Number
Deposit
Account
Name

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 370

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
30	20** = 10	9	90
6	3** = 3	42	126
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 216

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large E

Fee Code

105

127

139

147

112

113

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136

11-4288-4305
1210
2011151370

Date Dec 11, 2001

Commissioner of Patents & Trademarks \$ 586.00

Five Hundred eighty-six and no/100 Dollars

Wells Fargo Bank, N.A.
California
www.wellsfargo.com

WELLS FARGO

Memo

11-4288-4305 2011151370 0136

Fee Paid

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CE)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

SUBMITTED BY

Name (Print/Type)

HENRY M. GLADNEY

Registration No.
(Attorney Agent)

N/A

Complete (if applicable)

Telephone

(408) 867-5454

Signature

HMG Gladney

Date

12/11/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☒

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

N/A

First Inventor

Henry Martin GLADNEY

Title

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 19]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]

5. Oath or Declaration [Total Pages 2]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.62(d))
(for continuation/divisional)

- i. ☐ **DELETION OF**
Signed statement attested
named in the prior ap-
plication 1.63(d)(2) and 1.33(b)

6. ☐ Application Data Sheet. See

18. If a CONTINUING APPLICATION,
or in an Application Data Sheet under

☐ Continuation ☐ Divisions

Provide application information:

Ex:

For CONTINUATION OR DIVISIONAL APPLICATION,
Box 5b, is considered a part of the disclosure.
The incorporation can only be relied upon

☐ Customer Number or Bar Code Label

Name

H.

Address

2

City

SARATOGA

State

CALIF.

Zip Code

95070

Country

USA

Telephone

(408) 867-5454

Fax

(408) 867-3933

Name (Print/Type)

H. M. GLADNEY

Registration No. (Attorney/Agent)

N/A

Signature

H. M. Gladney

Date

11 Dec 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application Washington, DC 20231.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment

Submitted
Patent Application (19 pages + 6 pages
of drawings)

Completed Forms

PTO/SB/01 (2 pages)

PTO/SB/101A

PTO/SB/05

PTO/SB/17

Personal cheque for \$586.00

11002 U.S. PTO

10/047392

01/15/02